

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

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Form 6-K

REPORT OF FOREIGN PRIVATE ISSUER PURSUANT TO RULE 13a-16 OR 15d-16 OF THE SECURITIES EXCHANGE ACT OF 1934

For the month of July 2018

Commission File Number: 001-32001

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**Aptose Biosciences Inc.**  
*(Translation of registrant's name into English)*

**251 Consumers Road, Suite 1105**  
**Toronto, Ontario M2J 4R3**  
**Canada**  
*(Address of principal executive offices)*

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Indicate by check mark whether the registrant files or will file annual reports under cover of Form 20-F or Form 40-F.  
Form 20-F  Form 40-F

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(1)

Indicate by check mark if the registrant is submitting the Form 6-K in paper as permitted by Regulation S-T Rule 101(b)(7)

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**INCORPORATION BY REFERENCE**

N/A

**DOCUMENTS FILED AS PART OF THIS FORM 6-K**

See Exhibit Index hereto.

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned, thereunto duly authorized.

**Aptose Biosciences Inc.**

Date: July 9, 2018

By: /s/ Gregory Chow  
Name: Gregory Chow  
Title: Senior Vice President and Chief Financial Officer

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**EXHIBIT LIST**

99.1 Corporate Presentation

# CORPORATE PRESENTATION

JULY 09, 2018



NASDAQ: **APTO**    TSX: **APS**

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# A P T O S E

BIO SCIENCES



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This presentation does not, and is not intended to, constitute or form part of, and should not be construed as, an offer or invitation for the sale or purchase of, or a solicitation of an offer to purchase, subscribe for or otherwise acquire, any securities, businesses and/or assets of any entity, nor shall it or any part of it be relied upon in connection with or act as any inducement to enter into any contract or commitment or investment decision whatsoever.

This presentation contains forward-looking statements, which reflect APTOSE Biosciences Inc.'s (the "Company") current expectations, estimates and projections regarding future events, including statements relating to our business strategy, our clinical development plans, our ability to obtain the substantial capital we require, our plans to secure strategic partnerships and to build our pipeline, our clinical trials and their projected timeline, the efficacy and toxicity of our product candidates, potential new intellectual property, our plans, objectives, expectations and intentions; and other statements including words such as "anticipate", "contemplate", "continue", "believe", "plan", "estimate", "expect", "intend", "will", "should", "may", and other similar expressions. Such statements constitute forward-looking statements within the meaning of securities laws.

Although the Company believes that the views reflected in these forward-looking statements are reasonable, such statements involve significant risks and uncertainties, and undue reliance should not be placed on such statements. Certain material factors or assumptions are applied in making these forward-looking statements, and actual results may differ materially from those statements. Those factors and risks include, but are not limited to, our ability to raise the funds necessary to continue our operations, changing market conditions, the successful and timely completion of our clinical studies including delays, the demonstration of safety and efficacy of our drug candidates, our ability to recruit patients, the establishment and maintenance of corporate alliances, the market potential of our product candidates, the impact of competitive products and pricing, new product development, changes in laws and regulations, uncertainties related to the regulatory approval process and other risks detailed from time to time in the Company's ongoing quarterly filings and annual reports.

Forward-looking statements contained in this document represent views only as of the date hereof and are presented for the purpose of assisting potential investors in understanding the Company's business, and may not be appropriate for other purposes. The Company does not undertake to update any forward-looking statements, whether written or oral, that may be made from time to time by or on its behalf, except as required under applicable securities legislation. Investors should read the Company's continuous disclosure documents available at [www.sedar.com](http://www.sedar.com) and EDGAR at [www.sec.gov/edgar.shtml](http://www.sec.gov/edgar.shtml), especially the risk factors detailed therein.

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# Aptose Corporate Snapshot

Clinical stage company employing a mechanism-driven approach to deliver safer, targeted, first-in-class cancer drugs

Public Company	<b>NASDAQ: APTO / TSX: APS</b>
Shares Outs. <small>(6/30/2018)</small>	Basic: 34.4 MM; FD: 38.8 MM <i>No Warrants / No Preferred Stock / No Debt</i>
3 Month ADTV	~400,000 Shares
Market Cap <small>(6/30/2018)</small>	~\$130 Million
Cash Position <small>(3/31/18)</small>	US\$16.2 Million / CA\$20.9 Million
Cash Runway	>12 Months
Executive Headquarters & Research Laboratories	San Diego, CA

# Aptose Investment Highlights

Clinical stage **biotechnology** company developing first-in-class **targeted agents** to treat life-threatening **hematological malignancies** / orphan opportunities

Two differentiated targeted agents with Strong IP Protection	<b>APTO-253 : MYC Inhibitor</b>	FDA Orphan Drug Designation in AML
	<ul style="list-style-type: none"><li>- Only clinical stage agent directly targeting MYC oncogene</li><li>- Currently at Phase Ib stage for acute myeloid leukemia (AML)</li></ul>	
	<b>CG-806 : Oral Pan-FLT3 / Pan-BTK Inhibitor</b>	FDA Orphan Drug Designation in AML
	<ul style="list-style-type: none"><li>- Potent inhibitor of wild type &amp; all mutant FLT3 &gt;&gt; AML</li><li>- Potent inhibitor of wild type &amp; all mutant BTK &gt;&gt; B-cell Cancers</li><li>- IND planned 2018 to support AML and B-cell cancer FIH trials</li></ul>	

**\$1B+ commercial opportunity** in lead indications (AML and CLL)

**Strong leadership team** of industry, financial and clinical research professionals

# Aptose Leadership Team – See [www.aptose.com](http://www.aptose.com)

## Dr. William G. Rice, PhD Chairman, President & CEO

Achillion Pharmaceuticals: Founder, CEO, President, CSO, Director  
National Cancer Institute-FCRDC: Sr. Scientist, Drug Mechanism Lab  
Cylene Pharmaceuticals: Chairman, CEO, President, CSO



## Mr. Gregory Chow Sr. Vice President & CFO

RBC Capital Markets: Director, Led Life Sciences Private Placements  
Wells Fargo: Led Private Capital Group  
BDO Seidman, LLP: Senior Auditor, CPA (inactive), State of California



## Dr. Hannah Zhang, MD, PhD Sr. Director of Research

Aangstrom Pharm: Project Mgr to Moores Cancer Center  
Bio-Quant: Sr. Research Scientist  
Guilin Medical College, Guilin, P.R. China: Ob.Gyn.



## Mr. Ernest Kitt VP, Dev't & Technical Operations

Amgen/Onyx: Molecule Lead Director for Kyprolis in Clinical Operations  
Oncosec Medical: Executive Director of Clinical Operations  
Medicinova Inc: Associate Director of Clinical Operations

## Dr. Stephen Howell, MD Serves as Chief Medical Officer

Distinguished Professor of Medicine, UCSD Moore's Cancer Center  
Physician scientist conducting research to address drug resistance  
Expertise in pharmacology and design and conduct of clinical trials



# Aptose Scientific/Clinical Advisory Team



**Dr. Daniel Von Hoff, MD, FACP**  
**Serves as SVP of Medical Affairs**

*Winner of 2010 Karmofsky Memorial Award*  
*Prior President of AACR*  
*Board Member of ASCO*  
*Appointed to President's National Cancer Advisory Board*



**Dr. Brian J. Druker, MD**  
**Collaborator & Chair of SAB**

*Key Role in Dev't of Gleevec*  
*Member, National Academy of Sciences*  
*Winner of Karmofsky Award and Lasker "America's Nobel" Award*  
*Leader of Inter-institutional Beat AML Initiative*







**Dr. Michael Andreeff, MD, PhD**  
**Collaborator & Member of SAB**

*Professor of Medicine, Chair in Genetics, MD Anderson Cancer Center*  
*Physician Scientist, expert in AML / drug resistance / drug mechanisms, published over 450 peer-reviewed papers / books / chapters*

**Scientific Advisory Board Populated with KOLs – Domain Expertise**

# Aptose Program Pipeline

DRUG	TARGET	INDICATION	DISCOVERY	PRE-CLINICAL	PHASE 1	PHASE 2
<b>APTO-253</b>	MYC	AML / MDS				
<b>CG-806</b>	Pan-FLT3	AML				
<b>CG-806</b>	Pan-BTK (WT/C481S) Non-Covalent	B Cell Cancers (CLL/MCL/DLBCL)				
<b>APL-581</b>	BRD/Kinase	Hematologic Malignancies (Program Partnered with Ohm/LALS)				

Completed
Ongoing

# **APTO-253**

Phase 1b Stage

First-in-Class Inhibitor  
of MYC Expression

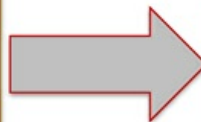


# APTO-253 Phase 1b Clinical Development Plan

## Phase 1b Dose Escalation Trial Dosing Planned to Commence Shortly

### R/R AML and High Risk MDS

- Planned up to 20 Patients
- 1<sup>o</sup> Endpts: MTD, DLT, RP2D
- 2<sup>o</sup> Endpts: PK, Biomarkers, Efficacy, Transfusions



### Single Agent Expansions

#### AML – Up to 15 Patients

ORR, Efficacy, Biomarkers, Safety

### Single Agent Expansions

#### MDS – Up to 15 Patients

ORR, Efficacy, Biomarkers, Safety

### Drug Combination Trials

#### Selection/Design Underway

- Patient Populations: R/R-AML Aggressive Cancer of Blood/Bone Marrow and hr-MDS
- Dosing Levels Planned: 20 (1pt), 40 (1pt), 66 (3x3pts), 100, 140, 180, 220mg/m<sup>2</sup>
- Drug Product Employs Newly Modified Formulation/Manufacturing Procedures
- Dosing Schedule: Day 1 of Each Week on 28-day Cycle
- Clinical Sites: Up to 15 Elite Sites Planned to Participate
- Potential Other Indications: B Cell Malignancies

**Note:** Phase 1b expansion cohorts and Phase 2 trials contingent on Phase 1b outcomes

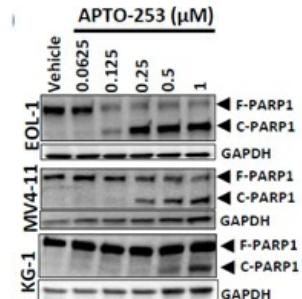
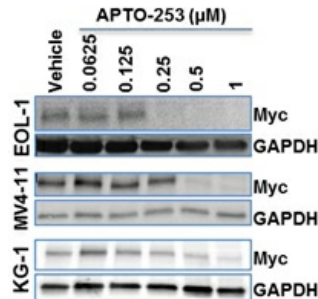
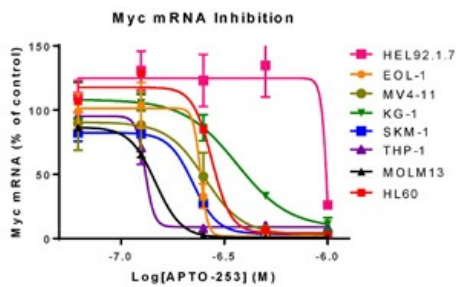
# APTO-253 Inhibits Expression of MYC

## MYC Oncogene Regulates cell growth, proliferation, apoptosis

- Dysregulated in numerous hematologic cancers, especially AML
- Notoriously difficult to inhibit MYC expression/signaling

## APTO-253 Entirely new class of small molecule MYC inhibitor

- Targets a regulatory motif in the promoter region of MYC gene (NOT the MYC protein)
- Inhibits MYC gene expression (mRNA) → depletes cells of MYC protein → induces apoptosis



## APTO-253 Value as Fresh Approach to Inhibit MYC

- APTO-253 is safe, combines well with other agents, and *not* myelosuppressive to date<sup>1</sup>

(1) ASH 2016 Poster #1716

# APTO-253 Mechanism & Therapeutic Indications: AACR Publications and 2018 AACR Presentation

## **APTO-253 stabilizes G-quadruplex DNA, inhibits MYC expression and induces DNA damage in acute myeloid leukemia cells**

Andrea Local, Hongying Zhang, Khalid D Benbatoul, Peter Folger, Xia Sheng, Cheng-Yu Tsai, Stephen B. Howell, and William G. Rice  
AACR Journal: *Molecular Cancer Therapeutics*, June 2018 (Volume 17, Number 6)  
Binding/Stabilizing G-quadruplex DNA (G4) motif in MYC promoter silences MYC gene expression

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## **APTO-253 is a new addition to the repertoire of drugs that can exploit DNA BRCA1/2 deficiency**

Cheng-Yu Tsai, Si Sun, Hongying Zhang, Andrea Local, Yongxuan Su, Larry A Gross, William Rice, and Stephen B. Howell  
AACR Journal: *Molecular Cancer Therapeutics*, June 2018 (Volume 17, Number 6)  
Binding of G4 can destabilize telomeres and stall replication forks resulting in DNA damage response  
Cancer cells harboring BRCA1/2 mutations hypersensitive – new solid tumor path

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## **APTO-253 is a new addition to the repertoire of drugs that can exploit DNA BRCA1/2 deficiency**

Cheng-Yu Tsai, Si Sun, Hongying Zhang, Andrea Local, William Rice, and Stephen B. Howell  
2018 AACR Abstract and Poster Presentation

# Aptose Program Pipeline

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<b>CG-806</b>	Pan-FLT3	AML				
<b>CG-806</b>	Pan-BTK (WT/C481S) Non-Covalent	B Cell Cancers (CLL/MCL/DLBCL)				
<b>APL-581</b>	BRD/Kinase	Hematologic Malignancies (Program Partnered with Ohm/LALS)				

Completed Ongoing

**CG-806**

First-in-Class  
Pan-FLT3 / Pan-BTK

“Multi-Cluster” Kinase Inhibitor

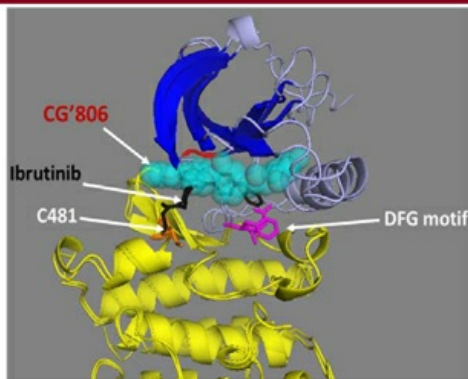
**A P T O S E**  
BIOSCIENCES

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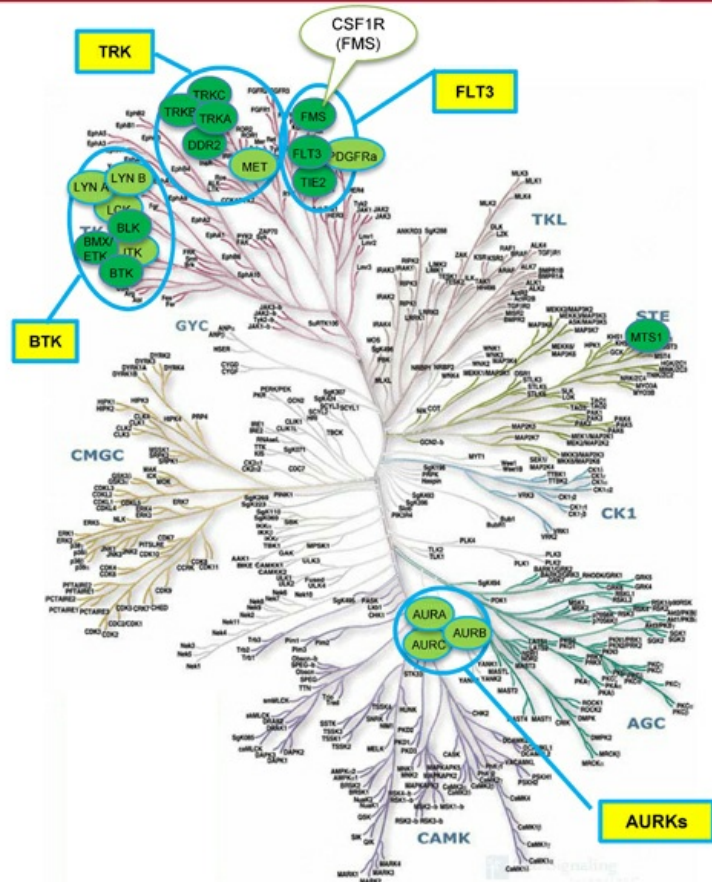


# CG-806 Selectively and Potently Targets “Clusters of Related Kinases”

- NOT a “Dirty Kinase Inhibitor”
- Targets Clusters of Oncogenic Kinases operative in Cancers Derived from Bone Marrow
- Selectivity for clusters linked to rigidity, functionalization, and “unique binding modes”



**X-ray Crystal Structure CG-806 in BTK-C481S**  
Atypical Type II Binding Mode

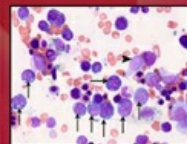


# Aptose Program Pipeline

DRUG	TARGET	INDICATION	DISCOVERY	PRE-CLINICAL	PHASE 1	PHASE 2
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<b>APL-581</b>	BRD/Kinase	Hematologic Malignancies (Program Partnered with Ohm/LALS)				

Completed Ongoing

# CG-806 For the Treatment of Acute Myeloid Leukemia (AML)

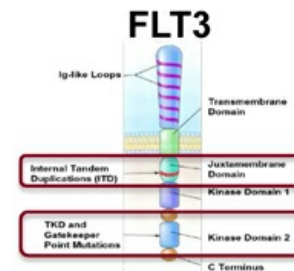


## Aggressive & Heterogeneous Cancer of Blood and Bone Marrow

- 21,000 new cases estimated in US in 2016 and leading to 10,600 deaths<sup>1</sup>
- **FLT3-ITD** mutation is key driver in **25-35%** of AML patients<sup>2,3</sup>

## Medical Need For Superior FLT3 Inhibitor

- Midostaurin (Rydapt®) approved; Quizartinib & others in development
- Current agents unable to control *all* mutant FLT3 forms → Resistance
- Need potent drug to inhibit *all* mutant forms of FLT3: ITD/TKD/GK/WT



## Targeting Only FLT3 is Not Enough to Control AML

- Multiple pathways operative – compensate for loss of one or few
- Need potent drug to target FLT3 **plus** multiple other “rescue” pathways
- FLT3, CSF1R, ERK, AKT, BTK and AURK as key pathways to cripple

“Whac-a-mole”  
Concept

<sup>1)</sup> American Cancer Society ; <sup>2</sup> Cancer, 2014 July 15; 120(14): 2142-2149 ; <sup>3</sup>Blood 2016;128(5):696-698.

# CG-806: Only Known Pan-FLT3 Inhibitor for AML Plus, Targets Additional Rescue Pathways

**CG-806 more potent FLT3-ITD inhibition relative to competitors**

Drug	IC <sub>50</sub> (nM)	
	FLT3-WT	FLT3-ITD
<b>CG-806<sup>(1)</sup></b>	8	<b>0.8</b>
<b>Midostaurin<sup>(2)</sup></b>	11	11
<b>Quizartinib<sup>(2)</sup></b>	4	8.8

**CG-806 retains potency against all tested forms of FLT3:**

	<u>Kd (nM)</u>
- FLT3-WT	0.2 nM
- FLT3-ITD	3.1 nM
- FLT3-D835Y (TKD Mutant)	4.2 nM
- FLT3-ITD/F691L (GK Mutant)	15 nM

## **CG-806 Differentiates as “More Than Just a FLT3 Inhibitor”**

- Potent inhibitor of all forms of FLT3 operative in AML, plus.....
- Potent inhibitor of other oncogenic kinases/pathways operative in AML, including CSF1R (FMS), BTK, AURK, H3S10, ERK Pathway, AKT Pathway

<sup>(1)</sup> Reaction Biology Corp.  
<sup>(2)</sup> Blood. 2009 Oct 1; 114(14): 2984-2992

# CG-806 Induced Rapid and Sustained Tumor Eradication in Mouse Model of AML



ORAL



MV4-11 (FLT3-ITD Driven AML) in Balb/c Mice

EFFICACY

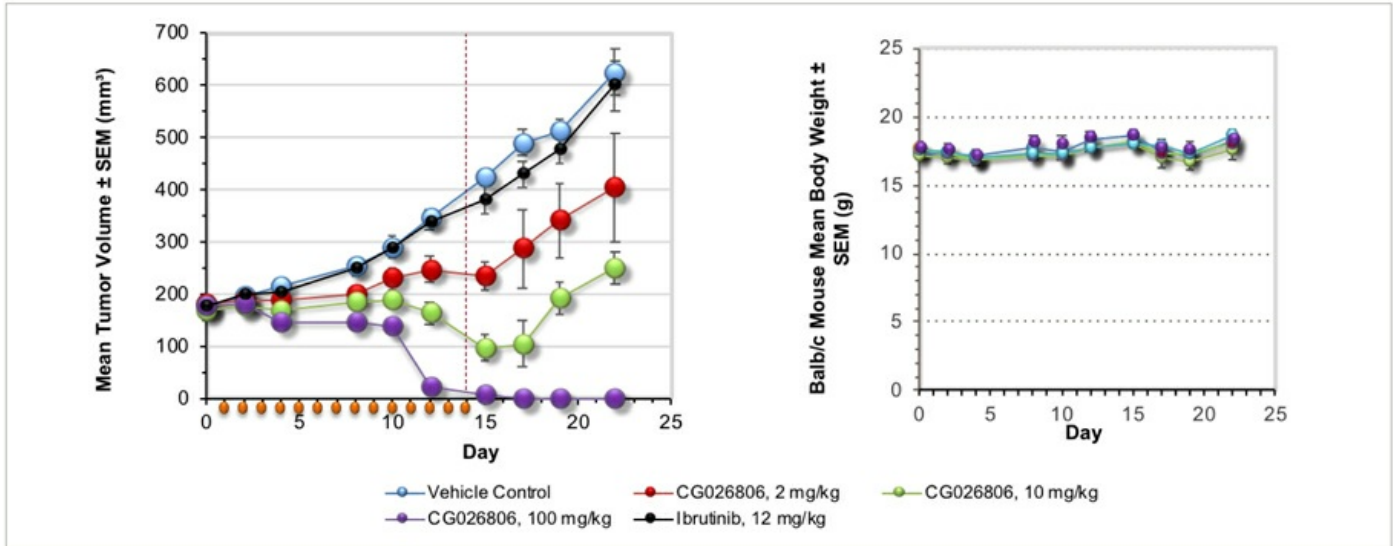


Treated Orally, Once Daily (QD) Dosing for 14 Days

SAFETY



Complete Tumor Elimination with No Observed Toxicity at These Doses



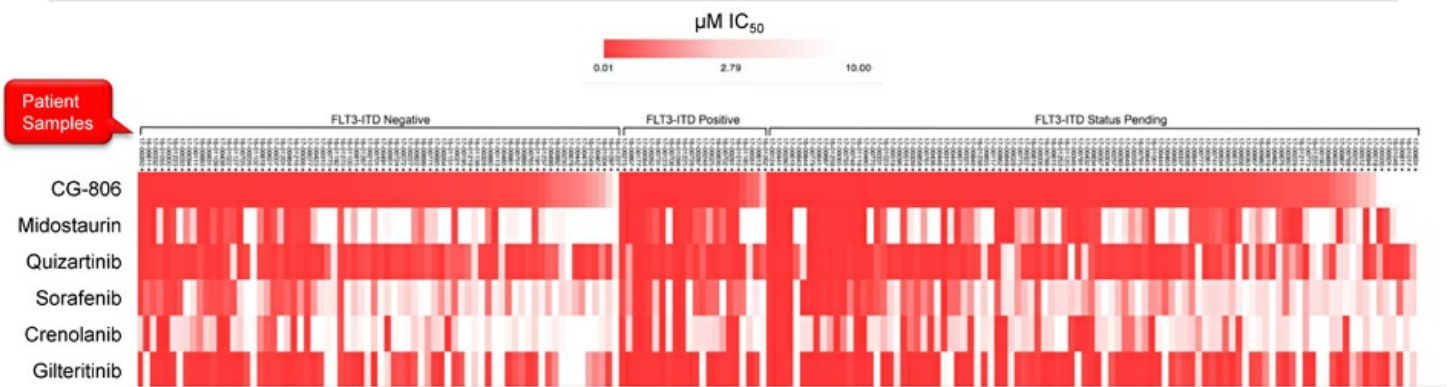
# CG-806 Exerts Broad and Superior Potency Against AML Patient Samples

## 188 AML Patient Samples: Median $IC_{50} = 76nM$

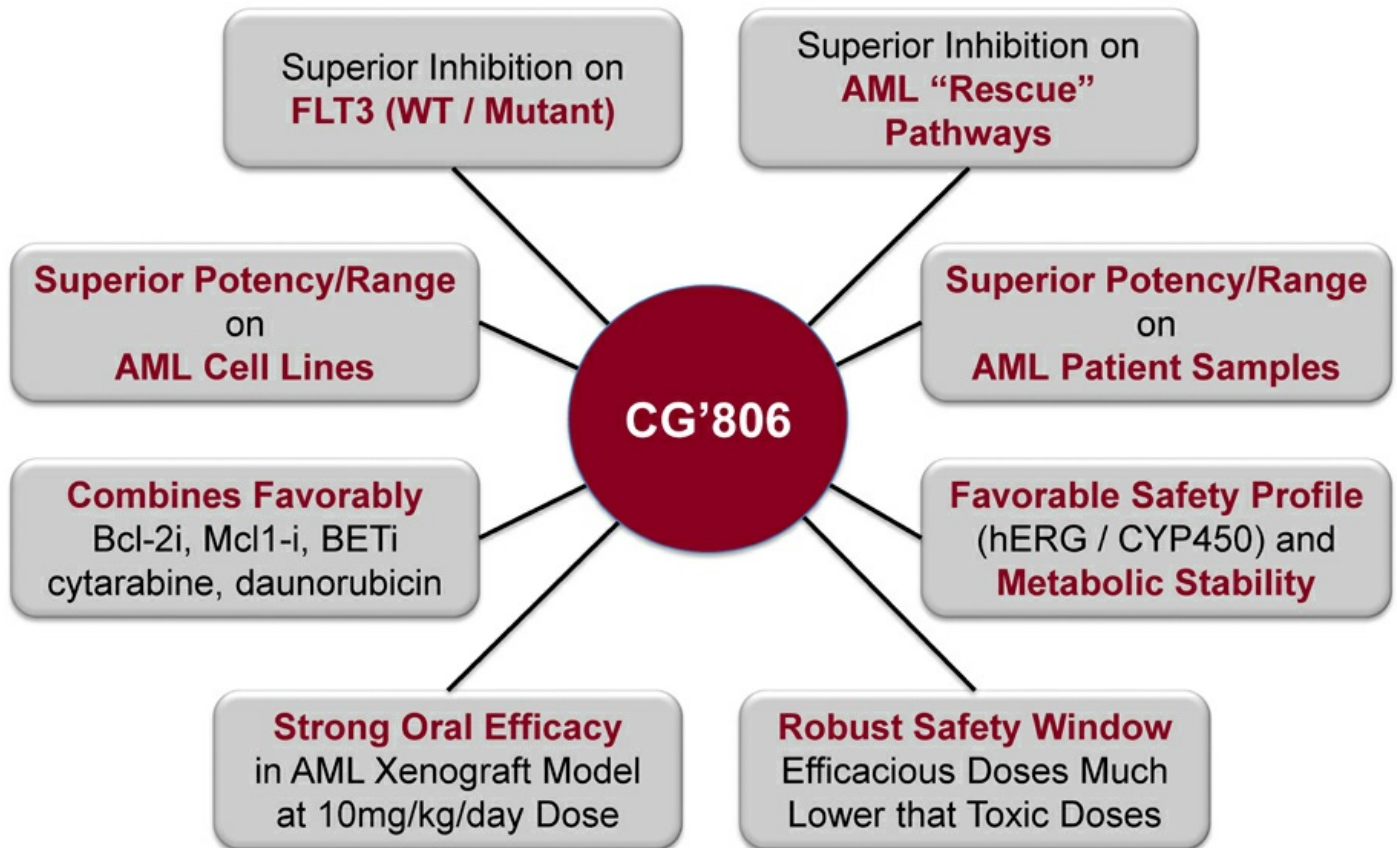
### Ex Vivo Drug Sensitivity Assay

- Inhibitors assessed by ex vivo assay to determine sensitivities of **fresh bone marrow patient samples** to CG-806 and other FLT3 inhibitors.
- Cell viability was assessed after 72-hour culture using a tetrazolium-based MTS assay and  **$IC_{50}$  values** calculated as a measure of drug sensitivity. Under the culture conditions used here, the cells retain viability (>90%), but do not proliferate.





## Heatmap of CG-806 Versus Other FLT3 Inhibitors on Primary AML Samples



# CG-806 Oral, Small Molecule, Multi-Cluster Inhibitor: Potential Best-In-Class Agent for AML



# Aptose Program Pipeline

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Completed
Ongoing



# Medical Need for Next Generation BTK Inhibitor



## Overexpressed BTK Drives Signaling in B Cell Malignancies

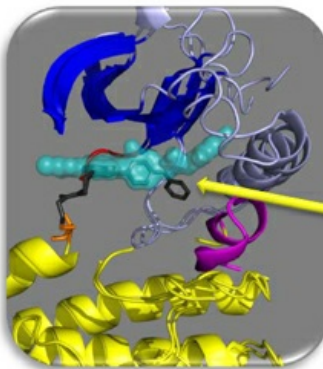
- CLL, MCL, DLBCL

## Ibrutinib (Imbruvica®) is Current Standard of Care

- \$Multi-billion WW sales in 2017 (Bloomberg)

## Ibrutinib Shortcomings – Patients Discontinuing

- 51% CLL Patients: Discontinue treatment with ibrutinib after 3.4yrs <sup>(1)</sup>
- 5-10% Patients: Resistant (C481S) to ibrutinib Covalent inhibitor
- 40-45% Patients: Intolerant or refractory to ibrutinib



## CG-806 Overcomes Shortcomings of Ibrutinib

- “Non-covalent inhibitor” of BTK (WT & C481S)
- Well tolerated in animal toxicology studies
- Inhibits multiple “rescue” kinases/pathways
- Plan to treat all patients discontinuing ibrutinib

(1) Woyach et al. *J Clin Oncol.*; 2017; 35; 1-7

# CG-806 Potently Inhibits Kinases in the BTK Cluster but NOT Kinases Related to Ibrutinib Side Effects

## CG-806 Inhibits Kinases of BTK Cluster

Kinase	CG-806 IC50 (nM)
BTK-WT	5.0
BTK-C481S	2.5
BTK-P190K	6.5
BTK-E41K	14.5
BLK	0.7
ITK	4.3
LCK	0.7
LYNA	2.0
LYNB	6.9
BMX/ETK	14.5

## B Cell Cancer Rescue Pathways Potently Inhibited by CG-806

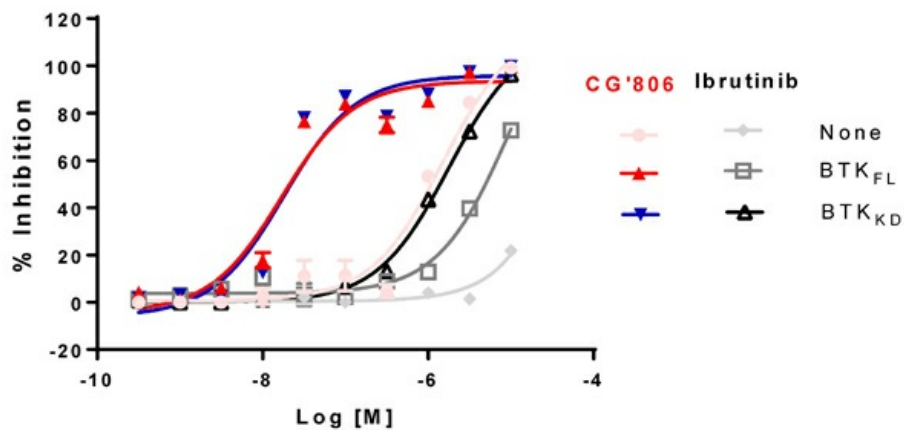
Kinase / Pathway
FLT3-WT / ITD / GK & TKD Mutants
CSF1R Receptor
AKT Pathway
ERK Pathway
AURK - A / B / C
H3S10 Epigenetic

## CG-806 Does Not Inhibit Kinases Related to Ibrutinib Side Effects

	TEC	EGFR	ErbB2	ErbB4
CG-806	>1,000	>1,000	>1,000	>1,000
Ibrutinib	78	5.6	9.4	NA

# CG-806 Killing Potency Superior to Ibrutinib in Ba/F3 Cell Transfected with BTK

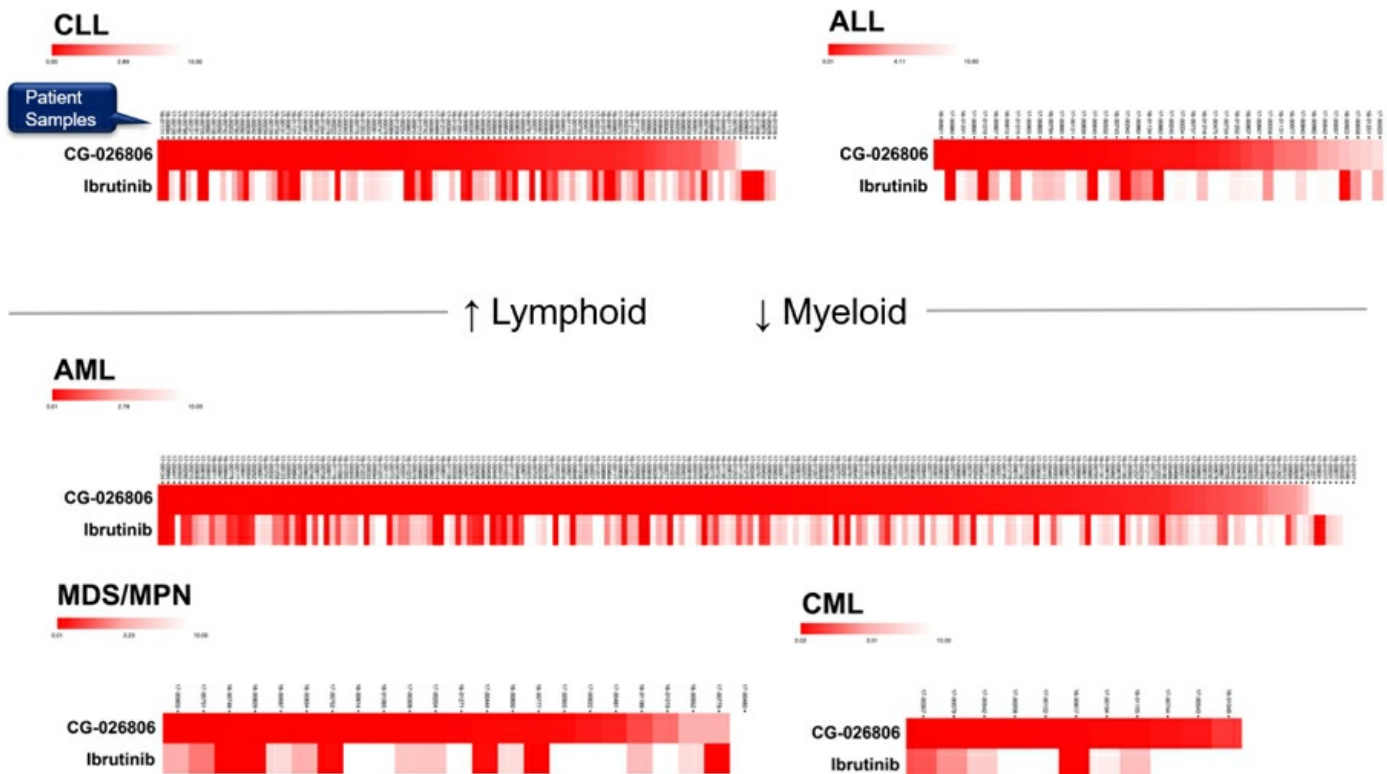
BTK Transfected Ba/F3 Cells



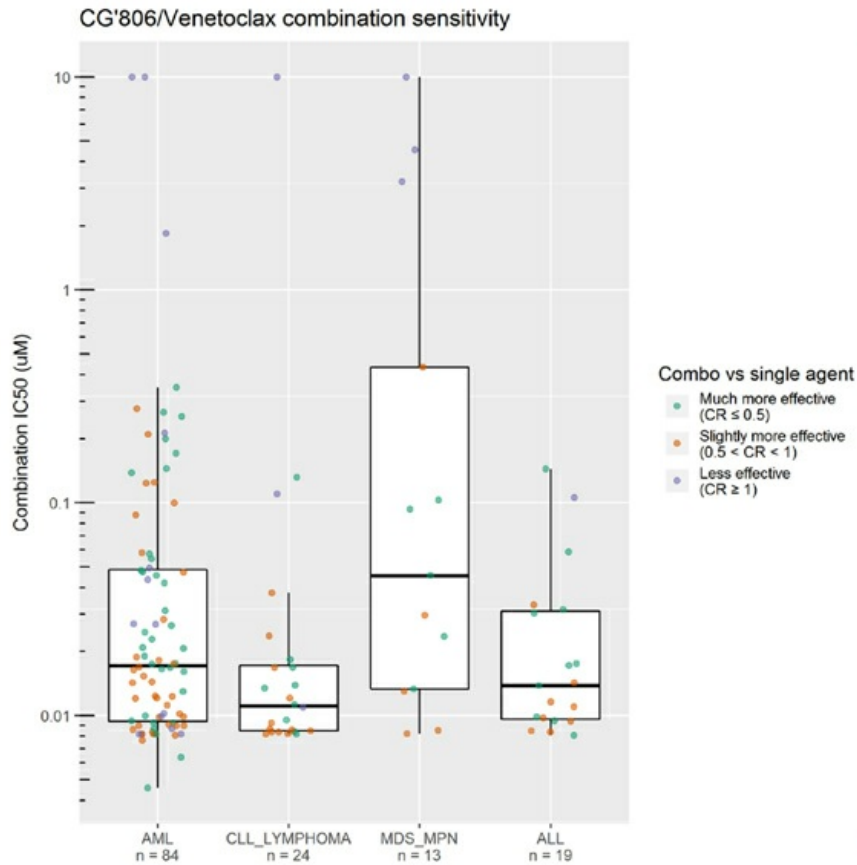
IC50 (nM)	CG'806	Ibrutinib
BTK <sub>FL</sub>	22.8	4555.0
BTK <sub>KD</sub>	23.2	1295.0
None	1001.0	> 10,000

Ba/F3 cells are murine lymphoma cells dependent upon exogenous IL-3 for survival. Isogenic Ba/F3 cells transfected with specific oncogenic kinases can be constructed so the only difference in the cells is the form of a particular kinase that is expressed and upon which cell survival is dependent (in the absence of IL-3). Ba/F3 cells were transfected with WT (Full Length of Kinase Domain only) forms of BTK. CG-806 effectiveness against isogenic murine Ba/F3 cells was compared to ibrutinib. CG-806 killed Ba/F3 cells transfected with BTK WT full length (WT-FL) or the WT kinase domain (WT-KD) at equal potency, while ibrutinib required higher concentrations to kill the cells. Note that CG-806 is more effective than ibrutinib at killing the non-transfected Ba/F3 lymphoma cells in the presence of IL-3.

# CG-806 Exerts Broad and Superior Killing Potency Compared to Ibrutinib Against Patient Samples



# CG-806 plus Venetoclax : Enhanced Killing of Bone Marrow Samples from Patients with Hematologic Malignancies



- CG-806 and Venetoclax individually are highly active cancer agents
- CG-806 + Venetoclax Combination Studies
  - Enhanced ex vivo killing of patient bone marrow cells in most samples (green and orange spheres)
- Suggests the combination of CG-806 and Venetoclax may become a preferred drug combination for patients with AML, MDS/MPN, CLL, ALL and other hematologic malignancies

# CG-806 Differentiates from Other BTK Inhibitors (Covalent and Non-Covalent)

## CG-806 and Other Non-Covalent Agents

Potent Inhibitors of **Wild Type** and **Mutant C481S-BTK**, but **not EGFR**

Agent	Company	Binding	BTK IC <sub>50</sub> (nM)		Key Off-Targets	
			WT	C481S	ITK	EGFR
Ibrutinib <sup>(1)</sup>	Abbvie	Covalent	0.5	R	10.7	5.6
Acalabrutinib <sup>(2)</sup>	AZ / Acerta	Covalent	5.1	R	>1000	>1000
<b>CG-806</b>	<b>APTOSE</b>	<b>Non-Covalent</b>	<b>5.0</b>	<b>2.5</b>	<b>4.3</b>	<b>&gt;1000</b>
SNS-062 <sup>(3)</sup>	Sunesis	Non-Covalent	4.6	1.1	14	>1000
ARQ 531 <sup>(4)</sup>	ArQule	Non-Covalent	4.2	NA	>1000	290

### CG-806 is “More than Just a Non-covalent BTK Inhibitor”

- Inhibits clusters of oncogenic kinases operative in B cell malignancies
- Results in greater potency than ibrutinib in killing B-cell cancer cells
- Does NOT inhibit TEC, EGFR or ErbB2/4 kinases associated with bleeding disorders, rash/diarrhea and atrial fibrillation, respectively

#### References

(1) Proc Natl Acad Sci U S A. 2010 Jul 20;107(29):13075-80.  
 (2) N Engl J Med. 2016 Jan 28;374(4):323-32

(3) Sunesis Corporate Presentation, September 2017  
 (4) Eathiraj et al, Pan Pacific Lymphoma Conference 2016

## CG-806: Steps Toward the Clinic

- ✓ **Completed manufacture of GLP API and formulation of drug product**



- ✓ **Completed dose range finding studies for pre-IND meeting with FDA**



- **IND-enabling GLP toxicology studies in two species ongoing**



- **Target IND Submission to FDA during 2018**



- **Planned Clinical Trials Following IND**
  - Acute Myeloid Leukemia (AML)
  - B Cell Malignancies (MCL, CLL and DLBCL)

# Aptose Executive Summary

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## Developing Highly Differentiated / Targeted Drugs for Blood Cancers

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### **APTO-253 First-in-Class MYC Expression Inhibitor in Phase Ib for AML**

- Expect to commence screening and dosing of R/R-AML / hr-MDS patients soon
  - Potential to expand into B cell malignancy patients (role of MYC)
  - New modified formulation creates potential for new IP
- 

### **CG-806 First-in-Class Pan-FLT3 / Pan-BTK Multi-Cluster Inhibitor**

- FLT3 inhibitor to treat sizable segment of AML population
  - BTK inhibitor to treat B cell cancer patients resistant to / discontinuing Imbruvica
  - Exercised Exclusive License Agreement and Captured China Rights in 2018
  - Expect to file IND for AML and CLL clinical trials in 2018
- 

## **Announced Licensing Deal for Our Dual BET/Kinase Program**

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## **Strong Leadership and KOL Support**

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## **Strengthened Financial Foundation**

- Cash runway >12 months
-



**Thank You!**

**A P T · O S E**  
BIOSCIENCES